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# IAP13 Rec'd PCT/PTO 06 NOV 2006

NOV O 6 TOWN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application

Daniels et al.

Serial No.:

10/590,859

Filed:

August 28, 2006

Entitled:

PHARMACEUTICAL PREPARATIONS FOR

AND TREATMENT OF OCULAR SURFACE

AND OTHER DISORDERS

Attorney Docket No.: ABL-014.1P US

Mail Stop AMENDMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Examiner: (not yet assigned)

Art Unit: (not yet assigned)

### **TRANSMITTAL LETTER**

Sir:

Transmitted herewith are: [X] an Information Disclosure Statement (IDS) under 37 CFR §§ 1.56, 1.97 and 1.98; [X] Form PTO-1449 Information Disclosure Statement; [X] photocopies of the disclosed documents, to be filed in the above-identified patent application; and [X] a return receipt post card.

### **PAYMENT OF FEES**

| [] | A check in the amount of \$ (check no.                | ) in payment of the fee for submission of an |  |  |  |  |
|----|---|--|--|--|--|--|
|    | information disclosure statement transmitted herewith |  |  |  |  |  |

[X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR §§ 1.16 or 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted.

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### **CERTIFICATE OF MAILING**

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

11/02/2006 Date



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### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, AND 1.98

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A modified Form PTO-1449 listing the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents. This Statement is being submitted before the first Office Action on the merits pursuant to 37 C.F.R. § 1.97(b)(3). Accordingly, no fee is required with this submission.

#### **United States Patents and Applications**

US 5,200,393, issued April 6, 1993, Weiner et al.

US 6,565,861, issued May 20, 2003, Tiffany et al.

### **Foreign Published Applications**

DE 41 23 273 A1, published January 14, 1993, Gramer, E.

EP 0 982 025, published March 1, 2000, Stoffel, W.

WO 98/16629, published April 23, 1998, Judd et al.

U.S. Serial No.: 10/590,859 (Atty. Docket No.: ABL-014.1P US)

Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application, and also printed on any patent issuing from the above-identified application.

Respectfully submitted,

David G. O'Brien, Reg. No. 46,125 Leon R. Yankwich, Reg. No. 30,237

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### Certificate of Mailing

The undersigned hereby certifies that this correspondence and accompanying documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

11/02/2006 date

| Modified FORM PTO-1449  | ATTY. DOCKET NO.               | SERIAL NO.               |  |
|---|--------------------------------|--------------------------|--|
|   | ABL-014.1P US                  | 10/590,859               |  |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | APPLICANT Daniels et al.       |                          |  |
| (Use several sheets if necessary)   | FILING DATE<br>August 28, 2006 | GROUP (not yet assigned) |  |

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |    | DOCUMENT NUMBER | DATE       | NAME           | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|------------------|----|-----------------|------------|----------------|-------|----------|-------------------------------|
| _                | AA | US 5,200,393    | 04/06/1993 | Weiner et al.  |       |          |                               |
|                  | AB | US 6,565,861    | 05/20/1993 | Tiffany et al. |       | .,       |                               |

### FOREIGN PATENT DOCUMENTS

|    | DOCUMENT NUMBER | DATE       | NAME        | CLASS | SUBCLASS | TRANSL<br>YES | ATION<br>NO |
|----|-----------------|------------|-------------|-------|----------|---------------|-------------|
| AC | DE 41 23 273 A1 | 01/14/1993 | Gramer, E.  |       |          |               |             |
| AD | EP 0 982 025    | 03/01/2000 | Stoffel, W. |       |          |               |             |
| AE | WO 98/16629     | 04/23/1998 | Judd et al. |       |          |               |             |

| CCV      |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

sheet \_1\_ of \_\_1\_